



03-26-02  
03-26-02  
OCTO

Section 1

## TRANSMITTAL FORM

(for all correspondence after initial filing)

Attorney Docket No.	WIL-102	Total Pages
Application Number	09/910,471	
Filing Date	7/20/2001	
First Named Inventor	JEFFREY K. WILKINS	
Group Art Unit	2161	
Examiner	NOT ASSIGNED	

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	<input checked="" type="checkbox"/> Response to Notice of Missing Parts
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Small Entity Statement
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Declaration by Inventors
<input type="checkbox"/> Response/Amendment	<input checked="" type="checkbox"/> Assignment papers
<input type="checkbox"/> After Final Rejection	<input checked="" type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> After Allowance communication to Group	<input type="checkbox"/> IDS/PTO-1449
<input type="checkbox"/> with Corrected Drawing(s) Total Sheets: [ ]	<input type="checkbox"/> with copies of cited references
<input type="checkbox"/> with Affidavits/Declarations	<input type="checkbox"/> New Power of Attorney and Revocation of Old
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Other:

COPY OF PAPERS  
ORIGINALLY FILED

### SIGNATURE OF AGENT

NAME MAREK ALBOSZTA, REG. NO. 39,894

Signature

Date

2 Nov. 2001

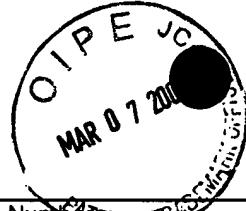
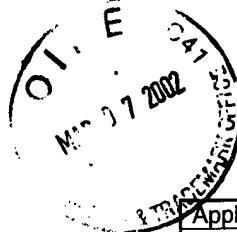
### Certificate of Mailing by "Regular Mail"

I hereby certify that this correspondence is being deposited on the date indicated below as first class mail with the U.S. Postal Service addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

SIGNATURE

NAME OF PERSON SIGNING

DATE OF MAILING: 11/2/01



### FEE TRANSMITTAL

Application Number:	09/910,471
Filing Date:	7/20/2001
First Named Inventor:	Jeffrey K. Wilkins
Title of Invention:	Method and Apparatus for Compiling Business Data
Group Art Unit:	2161
Examiner:	Not assigned
Attorney Docket No.:	WIL-102

**Fee Calculation:**

for [ ] Large Entity

[ X ] Applicant claims small entity status

See 37 CFR 1.27

COPY OF PAPERS  
ORIGINALLY FILED

**Basic Filing Fee:**

[ X ] Utility Patent Application: \$740 / \$370

\$ 370

[ ] Provisional Patent Application: \$160 / \$80

\$

**Claims:**

[ X ] Number of Total Claims Over 20: [64 ]	x \$18 / \$9 =	\$ 576
[ ] No. of Independent Claims Over 3: [ ]	x \$84 / \$42 =	\$

**Other Fees:**

[ ] Extension of time, 1 month	\$110 / \$55	\$
[ ] Extension of time, 2 months	\$400 / \$200	\$
[ ] Extension of time, 3 months	\$920 / \$460	\$
[ ] Extension of time, 4 months	\$1440 / \$720	\$
[ X ] Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$ 65
[ ] Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
[ X ] Recordation of Assignment Document	\$40	\$ 40
[ ] Issue Fee	\$1280 / \$640	\$
[ ] Printed Patent; Number of Copies: [ ]	x \$3 =	\$

**TOTAL PAYMENT:** \$ 1051**Method of Payment:**

[X] Payment Enclosed

[X] Check

**Signature of Applicant, Attorney, or Agent**  
Marek Alboszta, Reg. No. 39,8942 Nov. 2001

Date

Section 8

ERS  
ED

H4

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

COPY OF PAPERS  
ORIGINALLY FILED

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/910,471
		Filing Date	07/20/2001
		First Named Inventor	Jeffrey K. Wikins
		Group Art Unit	2161
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	WIL-102

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Formal specification and cover letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Ron Jacobs, Reg. No. 50,142
Signature	
Date	2/1/02

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

2/1/02

Typed or printed name	MARCIA GIDEON		
Signature		Date	2/1/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket: WIL-102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number: 09/910,471  
Filing Date: 07/20/2001  
Applicants: Jeffrey K. Wilkins et al.  
Application Title: Method and Apparatus for Compiling Business Data  
Art Unit: 2161

DAY OF PAPERS  
DATA  
ORIGINALLY FILED

**Certificate of Mailing by "Regular Mail"**

I hereby certify that this correspondence is being deposited on the date indicated below as first class mail with the U.S. Postal Service addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Maria Gideon  
**SIGNATURE**

**DATE OF MAILING:** 2/1/02

MARIA GIDEON  
**NAME OF PERSON SIGNING**

**SUBMISSION OF FORMAL SPECIFICATION**

Initial Patent Examination Division  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

A formal specification required by the PTO is submitted herewith. The claims of the formal specification commences on a separate sheet. The contents of the formal specification is identical to the specification as filed without new matters.

Very respectfully,

  
Ron Jacobs  
(Reg. No. 50,142)



CIP  
MAR 07 2002  
U.S. PATENT & TRADEMARK OFFICE

RECEIVED  
Lumen 9-10-01

# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,471	07/20/2001	Jeffery K. Wilkins	WIL-102

## CONFIRMATION NO. 1749

### FORMALITIES LETTER



\*OC000000006506519\*

ANTHONY G. DERVAN  
LUMEN INTELLECTUAL PROPERTY SERVICES  
SUITE 110  
45 CABOT AVENUE  
SANTA CLARA, CA 95051

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/12/2002 SZEWIDIE1 00000037 09910471

01 FC:201	370.00 OP
02 FC:203	576.00 OP
03 FC:205	65.00 OP

### FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1152.
  - \$1152 for 64 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1992.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice MUST be returned with the reply.*

*Chintu*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

2020ED042407650